# **Shangtong Zhang**

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#### **RESEARCH INTEREST**

The goal of my research is to solve sequential decision making problems in a scalable and reliable way. Currently, I focus on Reinforcement Learning (RL) as a solution method. In particular, I work on stochastic approximations for RL, theories and algorithms of RL, and applications by RL.

#### ACADEMIC EMPLOYMENTS

 Assistant Professor
 Aug 2022 - Present

 Department of Computer Science
 University of Virginia, VA, United States

 Research Scientist Interns
 Jun 2021 - Sep 2021

Microsoft Research Montreal DeepMind London Microsoft Research Montreal

## EDUCATION

<b>Doctor of Philosophy</b> , Computer Science University of Oxford, Oxford, United Kingdom Advisor: Prof. Shimon Whiteson Thesis: Breaking the Deadly Triad in Reinforcement Learning	Oct 2018 - Jul 2022
Master of Science, Computer Science University of Alberta, Edmonton, Canada Advisor: Prof. Richard S. Sutton Thesis: Learning with Artificial Neural Networks	Sep 2016 - Jul 2018
Bachelor of Science, Computing Science	Sep 2012 - Jul 2016

Fudan University, Shanghai, China

# **PUBLICATIONS**

<u>Advisees</u> of SZ are underlined; \* indicates equal contribution; <sup>†</sup> indicates equal supervision.

## Preprints

(P1) Experience Replay Addresses Loss of Plasticity in Continual Learning. Jiuqi Wang, Rohan Chandra, Shangtong Zhang. Rice Hall 422 85 Engineer's Way Charlottesville, VA, 22903 shangtong@virginia.edu

Feb 2021 - Jun 2021

Jun 2020 - Aug 2020

ArXiv Preprint, 2025.

- (P2) GameChat: Multi-LLM Dialogue for Safe, Agile, and Socially Optimal Multi-Agent Navigation in Constrained Environments. Vagul Mahadevan, Shangtong Zhang, Rohan Chandra. ArXiv Preprint, 2025.
- (P3) Group Fairness in Multi-Task Reinforcement Learning. Kefan Song, Runnan Jiang, Rohan Chandra, Shangtong Zhang. ArXiv Preprint, 2025.
- (P4) A Survey of In-Context Reinforcement Learning. <u>Amir Moeini, Jiuqi Wang</u>, Jakob Beck, <u>Ethan Blaser</u>, Shimon Whiteson, Rohan Chandra, Shangtong Zhang. ArXiv Preprint, 2025.
- (P5) CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios For Safety Hardening. Amar Kulkarni, Shangtong Zhang, Madhur Behl. ArXiv Preprint, 2024.
- (P6) Almost Sure Convergence Rates and Concentration of Stochastic Approximation and Reinforcement Learning with Markovian Noise. Xiaochi Qian\*, Xinyu Liu\*, Zixuan Xie\*, Shangtong Zhang. ArXiv Preprint, 2024.
- (P7) Asymptotic and Finite Sample Analysis of Nonexpansive Stochastic Approximations with Markovian Noise.

<u>Ethan Blaser</u>, **Shangtong Zhang**. ArXiv Preprint, 2024.

- (P8) Almost Sure Convergence of Linear Temporal Difference Learning with Arbitrary Features. Jiuqi Wang, Shangtong Zhang. ArXiv Preprint, 2024.
- (P9) AlphaStar Unplugged: Large Scale Offline Reinforcement Learning.

Michael Mathieu<sup>\*</sup>, Sherjil Ozair<sup>\*</sup>, Srivatsan Srinivasan<sup>\*</sup>, Caglar Gulcehre<sup>\*</sup>, **Shangtong Zhang**<sup>\*</sup>, Ray Jiang<sup>\*</sup>, Tom Le Paine<sup>\*</sup>, Richard Powell, Konrad Zolna, Julian Schrittwieser, David Choi, Petko Georgiev, Daniel Kenji Toyama, Aja Huang, Roman Ring, Igor Babuschkin, Timo Ewalds, Mahyar Bordbar, Sarah Henderson, Sergio Gomez Colmenarejo, Aaron van den Oord, Wojciech M. Czarnecki, Nando de Freitas, Oriol Vinyals. ArXiv Preprint, 2023

#### **Invited Articles**

(I1) A New Challenge in Policy Evaluation.
 Shangtong Zhang.
 AAAI Conference on Artificial Intelligence (AAAI), 2023
 New Faculty Highlights Program.

#### **Refereed Journals**

- (J1) The ODE Method for Stochastic Approximation and Reinforcement Learning with Markovian Noise. <u>Shuze Liu</u>, Shuhang Chen, Shangtong Zhang. Journal of Machine Learning Research (JMLR), 2025.
- (J2) Global Optimality and Finite Sample Analysis of Softmax Off-Policy Actor Critic under State Distribution Mismatch.
   Shangtong Zhang, Remi Tachet des Combes<sup>‡</sup>, Romain Laroche<sup>‡</sup>. Journal of Machine Learning Research (JMLR), 2022.

- (J3) Truncated Emphatic Temporal Difference Methods for Prediction and Control. Shangtong Zhang, Shimon Whiteson.
   Journal of Machine Learning Research (JMLR), 2022.
- (J4) MLPack 3: A Fast, Flexible Machine Learning Library. Ryan Curtin, Marcus Edel, Mikhail Lozhnikov, Yannis Mentekidis, Sumedh Ghaisas, Shangtong Zhang Journal of Open Source Software (JOSS), 2018.

#### **Refereed Conference Papers**

- (C1) Counterfactual Explanations for Continuous Action Reinforcement Learning. Shuyang Dong, Shangtong Zhang, Lu Feng. International Joint Conference on Artificial Intelligence (IJCAI), 2025 Acceptance rate: 19.3%
- (C2) Towards Large Language Models that Benefit for All: Benchmarking Group Fairness in Reward Models. Kefan Song, Jin Yao, Runnan Jiang, Rohan Chandra, Shangtong Zhang. Reinforcement Learning Conference (RLC), 2025 Acceptance rate: 39%
- (C3) Linear Q-Learning Does Not Diverge in L<sup>2</sup>: Convergence Rates to a Bounded Set. Xinyu Liu<sup>\*</sup>, Zixuan Xie<sup>\*</sup>, Shangtong Zhang. International Conference on Machine Learning (ICML), 2025. Acceptance rate: 26.9%
- (C4) Transformers Can Learn Temporal Difference Methods for In-Context Reinforcement Learning. Jiuqi Wang\*, <u>Ethan Blaser</u>\*, Hadi Daneshmand, Shangtong Zhang. International Conference on Learning Representations (ICLR), 2025. Acceptance rate: 32.08%.
   QuantCo Spotlight Award at the ICML Workshop on In-Context Learning, 2024.
- (C5) Revisiting a Design Choice in Gradient Temporal Difference Learning. Xiaochi Qian, Shangtong Zhang. International Conference on Learning Representations (ICLR), 2025. Acceptance rate: 32.08%.
- (C6) Efficient Policy Evaluation with Safety Constraint for Reinforcement Learning. <u>Claire Chen</u>\*, <u>Shuze Liu</u>\*, **Shangtong Zhang**. International Conference on Learning Representations (ICLR), 2025. Acceptance rate: 32.08%.
- (C7) Doubly Optimal Policy Evaluation for Reinforcement Learning. <u>Shuze Liu</u>, <u>Claire Chen</u>, **Shangtong Zhang**. International Conference on Learning Representations (ICLR), 2025. Acceptance rate: 32.08%.
- (C8) Efficient Multi-Policy Evaluation for Reinforcement Learning. <u>Shuze Liu</u>, <u>Claire Chen</u>, **Shangtong Zhang**. AAAI Conference on Artificial Intelligence (AAAI), 2025. Acceptance rate: 23.4%. Oral presentation (4.6%).
- (C9) Efficient Policy Evaluation with Offline Data Informed Behavior Policy Design. <u>Shuze Liu</u>, Shangtong Zhang. International Conference on Machine Learning (ICML), 2024. Acceptance rate: 27.5%
- (C10) On the Convergence of SARSA with Linear Function Approximation. Shangtong Zhang, Remi Tachet des Combes, Romain Laroche. International Conference on Machine Learning (ICML), 2023. Acceptance rate: 28%

- (C11) A Deeper Look at Discounting Mismatch in Actor-Critic Algorithms. Shangtong Zhang, Romain Laroche, Harm van Seijen, Shimon Whiteson, Remi Tachet des Combes. International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2022. Acceptance rate: 26%. Oral presentation.
- (C12) Learning Expected Emphatic Traces for Deep RL. Ray Jiang, Shangtong Zhang, Veronica Chelu, Adam White, Hado van Hasselt. AAAI Conference on Artificial Intelligence (AAAI), 2022. Acceptance rate: 15%.
- (C13) Breaking the Deadly Triad with a Target Network. Shangtong Zhang, Hengshuai Yao, Shimon Whiteson. International Conference on Machine Learning (ICML), 2021. Acceptance rate: 21.5%.
- (C14) Average-Reward Off-Policy Policy Evaluation with Function Approximation. Shangtong Zhang\*, Yi Wan\*, Richard S. Sutton, Shimon Whiteson. International Conference on Machine Learning (ICML), 2021. Acceptance rate: 21.5%.
- (C15) Mean-Variance Policy Iteration for Risk-Averse Reinforcement Learning. Shangtong Zhang, Bo Liu, Shimon Whiteson. AAAI Conference on Artificial Intelligence (AAAI), 2021. Acceptance rate: 21.4%.
- (C16) Learning Retrospective Knowledge with Reverse Reinforcement Learning. Shangtong Zhang, Vivek Veeriah, Shimon Whiteson. Conference on Neural Information Processing Systems (NeurIPS), 2020. Acceptance rate: 20.1%.
- (C17) GradientDICE: Rethinking Generalized Offline Estimation of Stationary Values. Shangtong Zhang, Bo Liu, Shimon Whiteson. International Conference on Machine Learning (ICML), 2020. Acceptance rate: 21.8%.
- (C18) Provably Convergent Two-Timescale Off-Policy Actor-Critic with Function Approximation. Shangtong Zhang, Bo Liu, Hengshuai Yao, Shimon Whiteson. International Conference on Machine Learning (ICML), 2020. Acceptance rate: 21.8%.
- (C19) Deep Residual Reinforcement Learning.
   Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson.
   International Conference on Autonomous Agents and Multiagent Systems (AAMAS), 2020.
   Acceptance rate: 23%. Best Paper Award.
- (C20) Mega-Reward: Achieving Human-Level Play without Extrinsic Rewards. Yuhang Song, Jianyi Wang, Thomas Lukasiewicz, Zhenghua Xu, Shangtong Zhang, Andrzej Wojcicki, Mai Xu. AAAI Conference on Artificial Intelligence (AAAI), 2020. Acceptance rate: 20.6%.
- (C21) DAC: The Double Actor-Critic Architecture for Learning Options. Shangtong Zhang, Shimon Whiteson. Conference on Neural Information Processing Systems (NeurIPS), 2019. Acceptance rate: 21.2%.
- (C22) Generalized Off-Policy Actor-Critic. Shangtong Zhang, Wendelin Boehmer, Shimon Whiteson. Conference on Neural Information Processing Systems (NeurIPS), 2019. Acceptance rate: 21.2%.

- (C23) Distributional Reinforcement Learning for Efficient Exploration. Borislav Mavrin, Shangtong Zhang, Hengshuai Yao, Linglong Kong, Kaiwen Wu, Yaoliang Yu International Conference on Machine Learning (ICML), 2019. Acceptance rate: 22.6%.
- (C24) ACE: An Actor Ensemble Algorithm for Continuous Control with Tree Search. Shangtong Zhang, Hao Chen, Hengshuai Yao. AAAI Conference on Artificial Intelligence (AAAI), 2019. Acceptance rate: 16.2%. Spotlight presentation.
- (C25) QUOTA: The Quantile Option Architecture for Reinforcement Learning. Shangtong Zhang, Borislav Mavrin, Linglong Kong, Bo Liu, Hengshuai Yao. AAAI Conference on Artificial Intelligence (AAAI), 2019. Acceptance rate: 16.2%. Oral presentation.
- (C26) Crossprop: Learning Representations by Stochastic Meta-Gradient Descent in Neural Networks. Vivek Veeriah\*, Shangtong Zhang\*, Richard S. Sutton. European Conference on Machine Learning and Principles and Practice of Knowledge Discovery in Databases (ECML-PKDD), 2017. Acceptance rate: 27.1%.
- (C27) A Deep Neural Network for Modeling Music. Pengjing Zhang, Xiaoqing Zheng, Wenqiang Zhang, Siyan Li, Sheng Qian, Wenqi He, Shangtong Zhang, Ziyuan Wang International Conference on Multimedia Retrieval (ICMR), 2015. Acceptance rate: 31%.

#### **Refereed Workshop Papers (Non-Archival)**

- (W1) A Deeper Look at Experience Replay. Shangtong Zhang, Richard S. Sutton. Deep RL Symposium at NIPS, 2017.
- (W2) Comparing Deep Reinforcement Learning and Evolutionary Methods in Continuous Control. Shangtong Zhang, Osmar R. Zaiane Deep RL Symposium at NIPS, 2017
- (W3) A Demon Control Architecture with Off-Policy Learning and Flexible Behavior Policy. Shangtong Zhang, Richard S. Sutton. Hierarchical RL Workshop at NIPS, 2017.

# GRANTS

Enhancing the Security of Large Language Models Against Persuasion-Based Jailbreak Attacksin Multi-Turn DialoguesCCI Coastal Virginia Node, Co-PI, Total \$60,000, My Share \$20,0002025 - 2026RAMPART: Reinforcement Against Malicious Penetration by Adversaries in Realistic Topologies.DARPA HR001123S0002, Co-PI, Total \$2,150,000, My Share \$77,000.2023 - 2027SLES: CRASH: Challenging Reinforcement-Learning Based Adversarial Scenarios for Safety Hardening.NSF 2331904, Co-PI, Total \$800,000, My Share \$400,000.III: Small: Moving Offline Learning to Rank Online, from Theory to Practice.NSF 2128019, PI, Total \$500,000, My Share \$500,000.2021 - 2025

#### HONORS

QuantCo Spotlight Award at the ICML In-Context Learning Workshop, 2024 Rising Stars in AI by KAUST AI Initiative, 2024 AAAI New Faculty Highlights, 2023 IFAAMAS Victor Lesser Dissertation Award (Runner-Up), 2022 Alf Weaver Junior Faculty Fellowship, UVA, 2022 - 2025 ICLR Outstanding Reviewer, 2021 NeurIPS Reviewer Award, 2020 ICML Reviewer Award, 2020 AAMAS Best Paper Award, 2020 Light Senior Scholarship, St Catherine's College, University of Oxford, 2020 EPSRC Studentship, University of Oxford, 2018 - 2022 EMC Scholarship, Fudan University, 2014

#### SERVICES

**Organizers** CPS Rising Star Workshop 2024, Co-Chair

#### Panelists

National Science Foundation (NSF), 2024 Virginia's Commonwealth Cyber Initiative (CCI), 2024

#### Meta Meta Reviewer

RL Conference 2025 (Senior Area Chair)

#### Meta Reviewer

NeurIPS 2025 (Area Chair) ICML 2025 (Area Chair) ICLR 2024, 2025 (Area Chair) L4DC 2025 (Program Committee) AAMAS 2025 (Senior Program Committee) RL Conference 2024 (Area Chair) AISTATS 2024, 2025 (Area Chair) ACML 2022, 2023, 2024 (Area Chair)

#### Reviewer

Transactions on Pattern Analysis and Machine Intelligence (1) Transaction of Machine Learning Research (2) Journal of Machine Learning Research (3) Artificial Intelligence Journal (2) Transactions on Intelligent Systems and Technology (2) IJCAI 2023 AISTATS 2022 NeurIPS 2020, 2021, 2022, 2023 ICML 2020, 2021, 2022, 2023 ICML 2020, 2021, 2022, 2023 ICLR 2021, 2022, 2023 SIGCOMM 2022 Offline Reinforcement Learning Workshop at NeurIPS 2020, 2021, 2022 Deep Reinforcement Learning Workshop at NeurIPS 2019, 2020, 2021, 2022 Adaptive and Learning Agents Workshop at AAMAS 2019, 2020 Optimization Foundations for Reinforcement Learning Workshop at NeurIPS 2019 Reinforcement Learning for Real Life Workshop at ICML 2019, 2021 Reinforcement Learning for Real Life Workshop at NeurIPS 2022

#### **Conference Session Chair**

AAAI 2023, "Reinforcement Learning Theory & Algorithms"

## SUPERVISION

# **Doctral Students**

Ethan Blaser, NSF Graduate Research Fellowship	2023 - Now
Jiuqi Wang	2023 - Now
Xinyu Liu	2024 - Now
Zixuan Xie, UVA Provost's Fellowship, UVA Engineering Distinguished Fellowship	2024 - Now
Amir Moeini	2024 - Now

# Alumni with Theses or Publications

Shuze Liu, $PhD \rightarrow Research Scientist at Meta$	2022 - 2025
Kefan Song, Master $\rightarrow$ PhD at UVA	2023 - 2024
Licheng Luo, Master $\rightarrow$ PhD at UC Riverside	2023 - 2024
Claire Chen, Undergraduate	2023 - 2025
Vikram Ostrander, Undergraduate (DMP thesis)	2024 - 2025
Vagul Mahadevan, Undergraduate (DMP thesis)	2023 - 2025
Steve Zhou, Undergraduate (DMP thesis)	2023 - 2024
Xiaochi Qian, Undergraduate (Oxford)	2022 - 2024

#### PhD Committees

Fengdi Che (U of Alberta, by Prof. Rupam Mahmood and Prof. Dale Schuurmans)
Tao Jin (by Prof. Farzad Farnoud)
Fan Yao (by Prof. Hongning Wang and Prof. Haifeng Xu)
Ingy ElSayed-Aly (by Prof. Lu Feng)
Sudhir Shenoy (by Prof. Afsaneh Doryab)
Chuanhao Li (by Prof. Hongning Wang)

# INVITED TALKS

Convergence of Value-Based Reinforcement Learning: Advances and Open Problems	
Workshop on Advances in ML Theory, Canada, by Prof. Csaba Szepesvari	May $2025$
Understanding the Training and Inference of Reinforcement Learning	
Tsinghua University, hosted by Prof. Hongning Wang	June $2024$
On the Cheating of Offline Reinforcement Learning	
KAUST Rising Stars in AI Symposium	Feb $2024$
Offline Reinforcement Learning: Current and Future	
AAAI New Faculty Highlight Program	Feb $2023$
Breaking the Deadly Triad in Off-Policy Reinforcement Learning	
Department of Computer Science, University of Virginia	Mar 2022
School of Computing Science, Simon Fraser University	Feb $2022$
Department of Electrical & Computer Engineering, University of Waterloo	Feb $2022$
School of Informatics, University of Edinburgh	Oct 2021
Breaking the Deadly Triad with a Target Network	
Microsoft Research Summit	Oct 2021

Breaking the Deadly Triad in Reinforcement Learning	
RL team, DeepMind, hosted by Dr. Hado van Hasselt	$\mathrm{Sep}\ 2021$
Off-Policy Evaluation	
Data Fest 2020, Open Data Science	${\rm Oct}~2020$
Off-Policy Evaluation and Control	
ByteDance AI Lab, Shanghai	${\rm Oct}~2020$
Coding Deep RL Papers	
NIPS MLTrain Workshop, Long Beach	$\mathrm{Dec}~2019$
Off-Policy Actor-Critic Algorithms	
Latent Logic LTD, Oxford	Apr $2019$

# TEACHING

# University of VirginiaSpring 2024, Spring 2025CS 6316: Machine LearningSpring 2024, Spring 2025CS 4501: Reinforcement LearningFall 2024CS 4501: OptimizationFall 2023CS 6501: Topics in Reinforcement LearningFall 2022

# **OPEN SOURCE CODE**

2017

2014

# GitHub Repo: PyTorch Deep RL

A zoo of popular deep RL algorithms in PyTorch with **3k stars**.

#### GitHub Repo: Reinforcement Learning: An Introduction

Python implementation of the book Reinforcement Learning: An Introduction with 13.8k stars.

# Google Summer of Code (GSoC) MLPack The Xapian Project